



TSB Recommendation R22-02

Reducing the risk of uncontrolled movements through the implementation of automatic parking brake technology

The Transportation Safety Board of Canada recommends that the Department of Transport require Canadian freight railways to develop and implement a schedule for the installation of automatic parking brakes on freight cars, prioritizing the retrofit of cars used in bulk commodity unit trains in mountain grade territory.

Rail transportation safety investigation report	R19C0015
Date the recommendation was issued	31 March 2022
Date of the latest response	February 2026
Date of the latest assessment	March 2026
Rating of the latest response	Satisfactory in Part
File status	Active

Summary of the occurrence

On 04 February 2019, the Canadian Pacific Railway Company (CP) freight train 301-349 being operated by a relief crew derailed on Field Hill near Field, British Columbia, on a 13.5-mile section of track with a steep descending grade (average 2.2%) and several sharp curves. The 3 crew members—a locomotive engineer, a conductor, and a conductor trainee—were fatally injured in the derailment.

Rationale for the recommendation

The issue of uncontrolled movements of railway equipment is not a new one. The TSB has pointed out the need for robust defences to prevent uncontrolled movements since 1996. On 12 August of that year, all 3 occupants in the operating cab of a locomotive were fatally injured when their train collided head-on with a cut of 20 runaway cars near Edson, Alberta.¹ In its investigation report, the TSB indicated that the facts surrounding this occurrence raised some concerns, notably with respect to the secondary defences against runaways.

¹ TSB Railway Investigation Report R96C0172.

The issue came to the forefront again in 2013 when, on 06 July, a runaway train derailed in the centre of the town of Lac-Mégantic, Quebec, destroying the town's core and main business area, and causing the death of 47 people.² In its investigation report, the TSB indicated that equipment runaways are low-probability events that can have extreme consequences, and the cost to human life and our communities can be incalculable.

For this reason, the Board recommended that the Department of Transport require Canadian railways to put in place additional physical defences to prevent runaway equipment. (TSB Recommendation R14-04)

Since then, the trend in the number of uncontrolled movements has been on an upward trajectory. In 2014, the year after the Lac-Mégantic accident, there were 59 occurrences; in 2019, there were 78, including this one. Unplanned/uncontrolled movements of railway equipment remains a current issue and is included in the TSB's Watchlist 2020, a list of issues that need to be addressed to make Canada's transportation system even safer.

In the years since Recommendation R14-04 was issued, in an effort to address these concerns, Transport Canada (TC) has implemented several initiatives aimed at reinforcing and clarifying requirements in the *Canadian Rail Operating Rules* (CROR) governing the application of hand brakes. These initiatives included a revision to Rule 112 in 2015, which provided the industry with a comprehensive hand brake application chart to respond to various operating situations when securing unattended equipment.

Following the occurrence at Field, TC again modified the CROR with new requirements for the use of hand brakes. It introduced Rule 66 (Securing Equipment after an Emergency Brake Application on Grade) for the securement of trains stopped in emergency on heavy grades and mountain grades.³ The new rule also includes a comprehensive hand brake application chart. It came into effect on 24 June 2020.

A hand brake is a mechanical device used to secure railway equipment and prevent uncontrolled movements. Hand brakes are installed on all railway rolling stock. They are manually applied and tightened by turning the hand brake wheel. This causes the brake shoes to be pressed against the wheel tread surface to prevent the wheels from moving or to retard their motion.

For hand brakes to securely hold a train, the right number of them must be applied to generate the needed brake force.

The hand brake application chart in Rule 66 indicates the number of hand brakes that must be applied on a train based on train tonnage and descending grade. For instance, given the occurrence train's weight of approximately 15 000 tons and the average 2.2% grade on Field

² TSB Railway Investigation Report R13D0054.

³ CP defines heavy grades as grades between 1.0% and 1.8% inclusive. Grades exceeding 1.8% are defined as mountain grades.

Hill, to meet the requirements of Rule 66, it would have been necessary to apply 75 hand brakes on the train after it had stopped in emergency.

There are several factors, however, that can reduce the effectiveness of hand brakes, most notably low input torque (the amount of force applied by the operator at the hand brake wheel), service wear, and reduced coefficient of friction (COF) of the brake shoes from rail conditions such as the presence of ice or snow. When some of the hand brakes on a train are not fully effective, more hand brakes are needed to achieve the brake force necessary to hold it stationary.

In practice, operators do not know how much force they are applying at the hand brake wheel, as hand brakes do not provide this type of feedback. Nor do they know the coefficient of friction of the brake shoes, or whether a hand brake's effectiveness is reduced due to service wear. The only available means to determine whether a sufficient number of hand brakes has been applied, therefore, is to perform a hand brake effectiveness test. This test involves releasing the air brakes to confirm that the train does not begin to roll. If the train does roll, more hand brakes must be applied, and the test performed again. In the operating scenarios covered by Rule 66, however, this test is not feasible for a train stopped on a heavy or mountain grade. In such circumstances it would be highly risky to release the air brakes, as the train could begin to roll quite quickly and it may not be possible to stop it again. Therefore, operators must rely on the pre-determined number of hand brakes mandated by the rule. If some hand brakes on the train are not fully effective, this number may not be enough, and there is a risk of uncontrolled movement.

Applying hand brakes is physically demanding and time consuming. Operators must board the car by climbing the side ladder, position themselves safely at the hand brake wheel, and crank the wheel clockwise to take up chain slack before applying maximum force on the crank. They must then dismount, walk to the next car, and repeat the manoeuvre. Applying a large number of hand brakes requires a sustained effort over several hours. As fatigue sets in, the force that operators are able to exert at each hand brake wheel may diminish over time; with lower input torque, the effectiveness of the hand brakes is reduced, requiring more hand brakes to be applied.

Table 1 shows how many hand brakes would be needed to hold a 15 000-ton train on a 2.2% descending grade, assuming 55 foot-pounds input torque (the force achieved by the participants in the human performance assessment),⁴ and a coefficient of friction in the range of 0.3 to 0.4. In the presence of brake cylinder leakage, an increasingly higher number of hand brakes would be needed as the pressure drops. According to this table, the 75 hand brakes mandated by Rule 66 would be sufficient, based on a COF of 0.39, and a BCP of 10 psi.

As the table shows, the number of hand brakes needed to hold a train varies greatly based on several variables, over which train crews have no control.

⁴ See Appendix E in TSB Rail Transportation Investigation Report R19C0015.

Table 1. Number of hand brakes required at an input torque of 55 foot-pounds to hold a 15 000-ton train on a 2.2% descending grade, based on the coefficient of friction of the brake shoes and the average brake cylinder pressure*

Coefficient of friction	Number of hand brakes required based on average brake cylinder pressure						
	77 psi**	65 psi	50 psi	35 psi	25 psi	10 psi	0 psi
0.30	42	40	46	55	67	102	162
0.31	40	39	44	53	64	98	156
0.32	39	37	43	51	62	95	151
0.33	37	36	41	50	60	92	146
0.34	36	35	40	48	58	88	141
0.35	35	34	38	46	56	86	136
0.36	34	33	37	45	54	83	132
0.37	33	32	36	44	52	80	128
0.38	32	31	35	42	51	78	124
0.39	31	30	34	41	49	75	120
0.40	30	29	33	40	48	73	116

* The numbers in this table assume a net hand brake ratio of 6.5%.

** A brake cylinder pressure of 77 psi corresponds to the pressure after an emergency brake application, when there is no brake cylinder leakage.

There is AAR-approved technology available for securing trains, which takes most of these variables out of the equation: automatic parking brakes for rail vehicles (APBs), such as Wabtec’s Automatic Park Brake and New York Air Brake’s ParkLoc. APB technology has been tested and approved for use on North American railways, but it has not been widely adopted.

APBs are brake cylinders equipped with an automatic, mechanically operated latch that locks the brake cylinder piston as needed depending on the pressure in the brake pipe. When the brake pipe pressure is depleted (e.g., after a penalty or an emergency brake application), the system automatically locks the brake cylinder piston in the extended position, thereby retaining the brake force. This occurs without any specific intervention or action by the train crew. Once the brake pipe pressure increases again, the system automatically releases the lock and retracts the brake cylinder piston, which releases the brake force. APBs can be configured for use on both truck-mounted and body-mounted brake systems, and they can be retrofitted on existing freight cars with no need to make modifications to the air brake system.

Because APBs lock the brake cylinder piston into position on the cars, their effectiveness is independent of input torque, and it is not affected by brake cylinder leakage. APBs, therefore, can hold a train on a steep grade indefinitely.

Uncontrolled movements of railway equipment, while low frequency events, can create high-risk situations that may have catastrophic consequences. TSB investigations into uncontrolled movements have revealed that the sequence of events almost always included inadequate train securement. TC has made several improvements to the rules governing the application of hand brakes. However, even with a comprehensive set of rules, it has been demonstrated over the

years that depending solely on the correct application of rules is not sufficient to maintain safety in a complex transportation system. The concept of “defence in depth” has shaped the thinking in the safety world for many years. Layers of defences, or safety redundancy, have proven to be a successful approach in many industries, to ensuring that a single-point failure does not lead to catastrophic consequences.

Better and more numerous administrative defences have not been successful in establishing safety redundancy against uncontrolled movements. To date, the Canadian railway industry and the regulator have yet to look beyond strengthening an administrative defense such as the use of hand brakes.

Until physical defences such as automatic parking brakes are implemented across the Canadian railway network, the risk of uncontrolled movements due to inadequate train securement will persist, especially on steep grades where the effectiveness of hand brakes cannot be tested.

Therefore, the Board recommended that

the Department of Transport require Canadian freight railways to develop and implement a schedule for the installation of automatic parking brakes on freight cars, prioritizing the retrofit of cars used in bulk commodity unit trains in mountain grade territory.

TSB Recommendation R22-02

Previous responses and assessments

June 2022: response from Transport Canada

Transport Canada (TC) agrees with recommendation R22-02. As noted in the TSB’s report, uncontrolled movements of railway equipment can create high-risk situations that may have catastrophic consequences, and Transport Canada has made several improvements to the rules and regulations to help prevent uncontrolled movements. The TSB’s report also notes that maintaining safety in a complex transportation system requires layers of safety, including additional physical defences such as automatic parking brakes.

Aligned with the TSB’s recommendation in this area, automatic parking brakes require no action from the train crew to be applied. Because the automatic brakes can only be released with an increase in air pressure from the locomotive, even if there is a leak in the air brakes, the train will remain secured indefinitely.

It is important to note that automatic parking brakes are not currently used by freight railway companies in North America. Although there are a limited number of examples currently available, automatic parking brake technology has not yet been tested, nor assessed, to ensure safe operations in Canada. Of note, the technology has not yet been approved by Transport Canada, the U.S. Federal Railroad Administration, nor the Association of American Railroads. Instead, hand brakes are required by regulation by Transport Canada and the Federal Railroad Administration, and represent an essential safety measure to prevent uncontrolled movements.

As such, it will be important to carefully assess the readiness, effectiveness and safety implications of automatic parking brakes, especially in the context of cold weather operations.

With this context, Transport Canada will undertake a series of actions involving due diligence and cold-weather testing:

- Transport Canada will assess the safety implications of automatic parking technology, by engaging with an expert consultant, the Volpe National Transportation Systems Center, which provides multidisciplinary, multimodal transportation expertise to government and industry worldwide. Working with Volpe, the department will assess technology effectiveness, operability in various weather considerations, implementation factors, and recommended regulatory approaches. It is anticipated that a contract will be in place with Volpe by September 2022, with a final report completed by March 2023.
- The department will conduct testing of automatic braking technology in a laboratory cold chamber to verify safety and performance in simulated mountainous terrain under real world operating conditions. Cold-weather testing will start in September 2022 and be completed by March 2023.
- Transport Canada will form a working group with railway companies to consider the design and safety parameters of automatic parking brake technology, including exploring options to test the technology under safe operating conditions (September 2022 – June 2023).
- Transport Canada will also conduct testing of air brake performance in cold weather, which was also highlighted in the TSB's report. This testing will enable the department and railway companies to explore further improvements to air brake performance in cold weather conditions.

Complementing these due diligence measures, Transport Canada will begin engaging with industry stakeholders on a phased approach to deploying this important technology. It is anticipated that this engagement work will begin in July 2022, and will be completed by June 2023, allowing time for industry to review the assessment of the automatic parking brake technology and to assess any implementation challenges. The timeline for technology deployment will be established based on the outcomes of the research and consultations.

August 2022: TSB assessment of the response (Satisfactory in Part)

Transport Canada (TC) agrees with the recommendation. TC notes that automatic parking brake (APB) technology is not currently used by freight railway companies in North America as there are a limited number of available technologies. In addition, TC states that APB technology has not yet been tested, nor assessed, to ensure safe operations in Canada. The technology has

also not yet been approved by Transport Canada,⁵ the U.S. Federal Railroad Administration, or the Association of American Railroads (AAR).

In Canada and the U.S., the AAR develops specifications and provides approval for all rolling stock equipment used in interchange service. AAR approval is a multi-step process. For APB technology, this process includes a detailed review of the technical drawings and specifications for the technology, laboratory cycle and environmental testing, and field testing.

AAR conditional approval is provided following the successful completion of laboratory cycle and environmental testing. This permits a manufacturer to proceed with field testing, where a specific quantity of units can be installed and used on certain operational freight cars over a set period of time, in accordance with an approved test plan.

AAR unconditional approval is granted following the successful completion of field testing. Once APB technology obtains AAR unconditional approval, it can then be installed on operational freight cars where permitted, or as required, by regulations.

While APB technology has not yet received AAR unconditional approval, there are two manufacturers (Wabtec and New York Air Brake) that have been granted AAR conditional approval.⁶ To date, both manufacturers have not been successful in partnering with railway companies to perform the field testing that is required to achieve AAR unconditional approval.

TC will undertake a series of actions to evaluate APB technology, including performing cold-weather testing. Specifically, TC has committed to assess the safety implications of APB technology by engaging an expert consultant, the Volpe National Transportation Systems Center, to assess technology effectiveness, operability in various weather considerations, implementation factors, and recommended regulatory approaches. The final report is scheduled to be completed by March 2023. In addition, TC will conduct laboratory cold chamber testing of APB technology between September 2022 and March 2023 and also test air brake performance in cold weather. Finally, between September 2022 and June 2023, TC will form a working group with railway companies to consider the design and safety parameters for APB technology, including exploring options to test the technology under safe operating conditions.

The Board is encouraged that TC recognizes and acknowledges that uncontrolled movements of railway equipment can create high-risk situations that may have catastrophic consequences. TC also acknowledges that maintaining safety in a complex transportation system requires layers of safety, including additional physical defences such as APBs. To this end, TC has set out a plan

⁵ However, TC permits the use of parking brakes as an alternative to hand brakes to secure equipment that is left unattended to prevent it from moving unintentionally. See CROR 112 (ii), Securing Unattended Equipment, which states "Parking brakes are considered to be hand brakes."

⁶ The New York Air Brake APB technology was introduced in 2006 for Wabash National Road-Railer. Over 3500 units were in service. However, the AAR does not recognize the Road-Railer as a freight car for the purpose of satisfying the field testing requirements.

to evaluate APB technology, test its cold-weather effectiveness, and assess any implementation challenges. However, a commitment to field test and implement APB technology is contingent on the results of TC's planned actions, including consulting with railway companies to consider the associated design and safety parameters of the technology. Therefore, the Board considers TC's response to Recommendation R22-02 to be **Satisfactory in Part**.

December 2023: response from Transport Canada

Transport Canada has invested substantial resources in rigorously evaluating the readiness, efficacy, and safety implications of automatic parking brakes (APB) in 2022/2023, particularly in cold weather scenarios to evaluate safe operations within Canada.⁷

Transport Canada recognizes that technology plays a pivotal role in the safety of the transportation system. However, it is essential to recognize that not all technological advancements are fully mature and ready for implementation. It is crucial to consider the readiness factor before pursuing implementation and operation of any technology as it directly impacts the intended success and efficiency.

To assess the effectiveness and safety implications of APBs, Transport Canada entered into two contracts – first with a research consultant, the Volpe National Transportation Systems Center. The final report submitted to Transport Canada in August 2023 emphasized that APB technologies are not advanced enough to be implemented at present. The report highlighted several challenges, including the lack of standardization and the absence of a fully matured technology platform. These findings indicate that further development is required to ensure that APBs can consistently and reliably perform in a variety of real-world scenarios. It is evident that pursuing APBs at this stage could expose the Canadian rail network to unnecessary risks and potential safety concerns.

Transport Canada's second research and testing agreement focused on assessing the safety implications of APBs in cold-weather conditions and validating the performance of prototype APB systems. Initial testing was performed by the National Research Council (NRC), in a simulated air brake system arrangement on an instrumented APB system. The NRC's initial round of testing revealed significant brake force reduction on simulated APB-equipped brake tests, suggesting a potential lack of reliability and performance of the technology. More recent NRC testing observations of an APB system installed on a rail car seem to indicate better brake shoe force measurements than measured in the initial trials. A full analysis of the latest testing data is currently being processed and is expected to be shared with stakeholders in Spring 2024. Real world track testing and further engagements with other APB manufacturers must be considered to ensure the safe implementation of APB technologies across Canada. It is crucial to ensure that APBs are capable of consistently delivering optimal performance prior to

⁷ All responses are those of the stakeholders to the TSB in written communications and are reproduced in full. The TSB corrects typographical errors and accessibility issues in the material it reproduces without indication but uses brackets [] to show other changes or to show that part of the response was omitted because it was not pertinent.

their integration in an operating environment. Additional testing activities are currently underway with the NRC to test the impacts of cold weather on traditional air brake systems.

In 2022, a working group was established with railway companies to consider APB designs and explore safe testing and implementation approaches. The working group met seven times in total.

While TC understands the recommendation R22-02 to pursue APBs for enhancing safety in the railway industry, the current state of readiness and availability of APBs, alongside the challenges identified in the research findings, suggest that further development and testing by the manufacturers are necessary. The feasibility of deploying this technology would be evaluated following progress on testing and research, as Transport Canada seeks to understand the state of readiness, availability and functionality of APB technology, and its ability to mitigate uncontrolled movements of railway equipment, including in cold weather conditions.

TC will continue to actively monitor the progress and development of the APBs. By doing so, we can stay informed about advancements and ensure that they align with the desired outcome of the recommendation. This approach mitigates potential risks associated with premature implementation and maximizes the chances of a successful deployment of APBs. In the meantime, and until the technology is proven, the Department continues to work on other measures to address performance of air brakes and securement on mountain terrain, such as recent approval of revisions to the *Railway Freight and Passenger Train Brake Inspection and Safety Rules*.

January 2024: response from the Railway Association of Canada (RAC)

The industry collaborated as part of the APB working group established with TC. Reports were submitted to, and reviewed by, the working group from both the NRC and the Volpe Center. One major railway participant conducted limited field testing of APB and this testing was abruptly halted due to significant safety concerns with the APB based on the results of the NRC laboratory tests.

The Recommendation does not appear to be based on any assessment of APB readiness, nor its effectiveness as a physical defence layer, nor its viability within the complex North American rail system.

It is evident that APB is not close to being an effective, viable, available or safe technology for freight railway operations.

TC advised the industry on December 20, 2023 of the following:

“While TC’s preliminary research and assessment have indicated that APB technologies are not ready for deployment, we judge that future research should include track testing and rail car installation of APBs to thoroughly assess technology readiness.”

For your information, Rail Safety has completed its leg of research and testing, and future advancements would be led through TC's Innovation Centre, with Rail Safety providing support as appropriate."

Based on this, R22-02 should be closed.

March 2024: response from Wabtec

Given the status of TC's and RAC's responses, the TSB referred to a manufacturer of commercially available APBs to obtain an update on its APB technology to better understand its status with regards to receiving AAR unconditional approval.

High-level timeline of Wabtec's Automatic Parking Brake (APB):

- 12/2018 – Completed Detailed Product Design
- 12/2019 – Field shoe force test at CSX (Cumberland, Maryland)
- 02/2020 – APB request for AAR Conditional Approval
- 09/2021 – Completed and issued design for APB
- 10/2022 – CN Field Test Installation (4x Devices)
- 12/2022 – TC/NRC Evaluation – Round 1 (one device)
- 03/2023 – TC/NRC Evaluation – Round 2 (two devices)
- 10/2023 – Evaluation of returned NRC Unit (APB2)
- 12/2023 – Field shoe force test at Curry Rail (Hollidaysburg, PA) with APB2
- 02/2024 – Witness on-car testing of APB1 at NRC

Summary of Wabtec's APB

Wabtec's APB concept has been under development for 6+ years. The design has progressed through much of our Product Development Framework (a standardized methodology for R&D Projects, including design iterations, design reviews, verification and validation) to the point that the design has evolved into what is believed to be well beyond the prototype phase and it is appropriate to be evaluated by the industry.

Wabtec's APB was validated to applicable AAR Specifications as follows:

- AAR S-400 (Figure 8.2): I.D. of Hollow Rod, mounting holes and envelope dimensions.
- AAR S-4004 (Performance Testing):
 - Force Test (Section 3; -40/RT/150°F)
 - Leakage Tests (Section 3; -40/RT/150°F)
 - Vibration Tests (Section 4)
 - Cycle Tests (Section 5)

Wabtec's APB was also validated beyond the above-mentioned AAR specifications to internal guidelines:

- Single Car Test Rack – Simulated Brake applications (-40/RT/150°F)
- Force Output and Force Retention
- Endurance/Proof Tests
- On-Car – Brake applications and shoe force

Based on these successful validations and documented on-car testing, it is believed that the current APB is ready to be evaluated in further field tests. Specifically, it is hoped that the successful recent NRC on-car testing of the APB will result in the resuming of the field test of the APB on CN. The introduction of this technology into actual service will provide an assessment of the durability of the design as well as valuable feedback on operation of cars equipped with the APB. Additionally, APB testing at MxV Rail is to begin in May.

Wabtec's APB will provide operational and safety benefits:

- APB engages after Brake Pipe pressure decreases below 20-psi and retains shoe force developed after an emergency application in the event that brake cylinder pressure decreases due to leakage.
- The engagement of the APB following a UDE, hose separation or an intentional emergency application allows for the securement of cars so that a thorough evaluation of the situation can be executed – avoiding the potential urgency to take corrective action that may be required in some critical situations.
- The APB Pneumatic Control Valve – APB isolation from Brake Pipe pressure – provides the ability to maintain shoe force while the brake system is recovered.
- The APB Pneumatic Control Valve – access from the ground replaces the need to set hand brakes – eliminating the demanding physical task of applying and releasing the hand brakes.
- An automatic parking brake is believed to be a major enhancement to the brake system to prevent uncontrolled movements. The basic functionality of a parking brake becomes even more significant when the prospect of autonomous train operation is considered.

Comments related to NRC's (National Research Council Canada) evaluation of two APBs:

Wabtec provided a detailed report to the NRC in a letter/report dated February 27, 2024; this was subsequently sent to the TSB. The following is a high-level summary from that report:

APB2 was sent to the NRC for testing on 1/20/2023. During performance testing, NRC noted that APB2 occasionally yielded a low force output. This device was shipped back to Wabtec for further evaluation (Wabtec received on 10/19/2023). APB2 was tested in the 'as received' condition from NRC.

Wabtec was unable to re-produce the results documented in the NRC report.

The returned APB2 cylinder was found to be compliant with the force output requirements prescribed in the AAR S-4004 specification.

A direct comparison of force output between a standard ABU cylinder and the returned APB2 cylinder shows a maximum of 4% lower APB2 force output with no spring/rigging simulation.

Tests performed with the Wabtec spring/rigging simulation configuration on APB2 exhibit 107% to 149% of the lower output measured by NRC on the APB2 cylinder. It is believed that the NRC test arrangement, simulating a car's rigging, may have contributed to the documented disparity in the spring/rigging simulation force output measurements of the APB2 cylinder.

By design, the APB force retention target is to retain greater than 80% of the shoe force generated by an emergency application. The returned APB2 cylinder retained more than 80% for all test conditions.

Preliminary data from recent on-car NRC tests with APB1 show that the Automatic Parking Brake produced greater than 90% of the average un-tapped shoe source generated by the standard ABU cylinder. This testing was performed in February 2024 on a 100-ton loaded covered hopper car (AVL 003) at NRC's facility (Ottawa, ON). Tests were performed indoors as well as outdoors (approx. temperature 15°F). This data appears to further corroborate Wabtec's in-house testing and the on-car testing at Curry Rail.

As always, Wabtec will continue to assist both regulators and industry stakeholders in the development of new technology that improves safe operation.

April 2024: TSB assessment of the response (Satisfactory in Part)

In 2022–23, Transport Canada (TC) entered into 2 contracts—one with the Volpe National Transportation Systems Center (Volpe) and another with the National Research Council Canada (NRC)—to evaluate the readiness, efficacy, and safety implications of automatic parking brake (APB) technology, particularly in cold-weather scenarios in Canada.

TC has also indicated that a working group was established with railway companies to consider the design and safety parameters of APB technology. The working group met seven times in total. TC has made industry aware of the TSB recommendation, and held discussions about APB technology, but has not secured a willing partner railway to work with APB technology manufacturers toward achieving Association of American Railroads (AAR) unconditional approval.⁸

In its final report submitted to TC in August 2023, Volpe emphasized that APB technology is not advanced enough to be implemented at present. Volpe also highlighted that further development is required to ensure that APBs can consistently and reliably perform in a variety of real-world scenarios.

⁸ The APB technology developed by Wabtec, and evaluated by the NRC, has achieved AAR conditional approval. To achieve AAR unconditional approval, and therefore allow the technology to be used by railways in operational service, APB technology manufacturers require willing industry partners to test the new technology in service for a specific period of time and in a wide range of applications and situations to demonstrate its suitability for long-term use.

Testing completed by the NRC on behalf of TC in 2023 focused on assessing the safety implications of APB technology in cold-weather conditions. However, the NRC testing revealed significant brake force reduction during APB-enabled brake tests using an instrumented APB unit manufactured by Wabtec. APB technology involves the operation of a mechanical latch that, manufacturer testing has shown, is not adversely affected by ambient temperature. As designed, an APB, once activated, will maintain a braking force regardless of the ambient air temperature until the brake pipe pressure increases and the mechanical latch is released. The TSB notes that the NRC testing involved the use of a simulated air brake system arrangement (i.e., a test rig) that was not approved by the APB technology manufacturer (Wabtec) and likely led to invalid results and erroneous conclusions about the effectiveness of the APB unit's performance.

The APB unit that was tested by the NRC was subsequently shipped back to Wabtec for evaluation. Wabtec did not identify any issues with the unit and was unable to reproduce the results obtained by the NRC testing using the test rig. The testing performed by Wabtec revealed that the APB unit performed as expected, maintaining the desired brake force.

Following the initial testing, NRC, on behalf of TC, conducted additional APB testing in February 2024 using a 100-ton loaded covered hopper car at NRC's facility in Ottawa, Ontario. Tests were performed indoors as well as outdoors (at a temperature of approximately -9°C). Preliminary data shows that the APB unit produced better brake shoe force measurements than measured in the initial NRC testing and the results are similar to those reported by Wabtec during its testing.

Wabtec's APB technology has been under development for over six years. Wabtec contends that the design has evolved beyond the prototype phase, having received AAR conditional approval and having completed numerous successful tests, and it is ready to be evaluated by the industry in an operational environment.

In the meantime, TC continues to rely on improvements to its regulatory framework to address performance of air brakes and train securement on mountain terrain, such as the approval of revisions to the *Railway Freight and Passenger Train Brake Inspection and Safety Rules* on 29 September 2023 that will come into effect on 01 December 2025. These are positive steps that are expected to improve safety. However, as indicated in the preamble to the recommendation, TSB testing conducted as part of investigation R19C0015 has shown that the application of hand brakes required by *Canadian Rail Operating Rules* (CROR) Rule 66 could be insufficient to secure a train in many circumstances due to, amongst other items, human performance limitations resulting in lower-than-expected minimum torque values. This supports the need for physical defences such as APB technology to enhance safety and prevent uncontrolled movements of railway rolling stock.

The Board is encouraged that TC recognizes and acknowledges that uncontrolled movements of railway equipment can create high-risk situations that may have catastrophic consequences. The initiatives taken by TC to date have been aimed at evaluating the readiness, efficacy, and safety implications of APB technology to ensure that APB technology is capable of performing as

intended prior to its integration in an operating environment. However, unless TC takes steps to plan for the further testing of this technology in an operational environment, the Canadian railway industry is not likely to volunteer to partner with APB manufacturers to test this technology in service in order to achieve AAR unconditional approval. TC indicated that its research for the next year will focus on APB testing in addition to testing the impacts of cold weather on traditional air brake systems. Consequently, the Board considers TC's response to Recommendation R22-02 to be **Satisfactory in Part**.

December 2024: response from Transport Canada

Recommendation R22-02 calls for Transport Canada to require Canadian freight railways to develop and implement a schedule for the installation of automatic parking brakes (APBs) on freight cars. Following the Board's recommendation, Transport Canada invested considerable resources into research with the National Research Council Canada and the Volpe National Transportation Systems Center to determine the safety and performance of APBs on long-haul freight trains. Preliminary research indicated that APB technologies are not unconditionally approved by the Association of American Railroads (AAR) and appear to be in the prototype stage of development for application on freight trains.

Transport Canada shares the goal of improving safety and requires more data to support widespread implementation. As such, the department is actively advancing further testing of APB technology, with a particular focus on its performance in cold weather conditions. Transport Canada will also continue to monitor field trials between railways and APB technology suppliers to assess the effectiveness of APBs in Canadian operations.

Additionally, Transport Canada will continue to engage with the United States Federal Railroad Administration (FRA), the railway industry, and unions to collaboratively address issues related to train securements and uncontrolled movements, ensuring that any future technology deployment is safe, effective, and well-suited for integration into Canadian rail operations.

March 2025: response from the Railway Association of Canada (RAC)

The industry actively participated in the APB working group established by TC, and limited field testing was conducted by a Class 1 railway. The field testing was discontinued due to safety concerns as a result of NRC laboratory tests of APB. Based on the working group's efforts, TC concluded that APBs are not ready for deployment and that future research would be led through TC's Innovation Centre. Industry remains willing to participate in next steps, including research being conducted by the Innovation Centre.

March 2025: TSB assessment of the response (Satisfactory in Part)

Transport Canada (TC) invested resources in research with the National Research Council Canada and the Volpe National Transportation Systems Center to assess the safety and performance of automatic parking brakes (APBs) on long-haul freight trains. In its response, TC

noted that preliminary research indicated that APB technology is not unconditionally approved⁹ by the Association of American Railroads (AAR) for application on freight trains.

TC stated that additional data is required to support widespread implementation. It is advancing further testing of APB technology, with a particular focus on its performance in cold weather conditions. TC will also monitor future field trials conducted by railways and APB technology suppliers to assess the effectiveness of APBs.

Additionally, TC indicated that it will continue to engage with the United States Federal Railroad Administration, the railway industry, and unions to collaboratively address issues related to train securement and uncontrolled movements. This collaboration aims to ensure that any future technology deployment is safe, effective, and well-suited for integration into Canadian rail operations.

The initiatives undertaken by TC to date have focused on evaluating the readiness, efficacy, and safety implications of APB technology to ensure that it can perform as intended prior to its integration in the Canadian operating environment, which includes cold weather conditions. However, unless TC takes steps to promote and evaluate APB technology in an operational environment, it is unlikely that the Canadian railway industry will voluntarily partner with APB manufacturers to conduct the service testing required for this technology to achieve AAR unconditional approval. Consequently, the Board considers TC's response to Recommendation R22-02 to be **Satisfactory in Part**.

Latest response and assessment

January 2026: response from Transport Canada

Research conducted with the Volpe National Transportation Systems Center completed in 2023 concluded that automatic parking brakes (APBs) were not yet at a technology readiness level suitable for commercial adoption. This work directly informed subsequent research now being led by Transport Canada's Innovation Centre in collaboration with the National Research Council (NRC), APB manufacturers, and the Transportation Safety Board of Canada (TSB).

Multiple rounds of testing of APB units from 2 different manufacturers have been completed. This included a series of different tests and at various temperatures, including in extreme cold conditions as low as -40°C to test APB performance and safety.

A technical summary of the testing is being drafted and is expected to be completed in Spring 2026. After completion, Transport Canada will explore options with the Railway

⁹ The APB technology developed by Wabtec, and being evaluated by the NRC, has achieved AAR conditional approval. To achieve AAR unconditional approval, and therefore allow the technology to be used by railways in operational service, APB technology manufacturers require willing industry partners to test the new technology in service for a specific period of time and in a wide range of applications and situations to demonstrate its suitability for long-term use.

Association of Canada (RAC) to determine next steps for APB implementation and potential further testing.

February 2026: response from the Railway Association of Canada (RAC)

Since the majority of rail cars operating in Canada are not owned by the railways, and because rail cars are not captive to any country and regulatory jurisdiction in North America, RAC is of the opinion that any alterations to rail cars, including the possibility of further testing or future deployment of APBs [automatic parking brakes], requires significant consultation with railways, rail car manufacturers and industry associations on both sides of the border.

Beyond Transport Canada's conclusion that APB is not ready for deployment, it is not evident to RAC that APB is necessary or practical for improving safety. Any effort to promote evaluation of APB in an operational environment would require support from the car manufacturers and railways. At present, there is insufficient evidence of this need.

March 2026: TSB assessment of the response (Satisfactory in Part)

In its response, Transport Canada (TC) outlined its intent for continued research and testing related to automatic parking brakes (APBs) as a potential technological measure to mitigate the risk of uncontrolled movements. Testing performed to evaluate APB suitability under Canadian operating conditions, including extreme cold weather, was led by TC's Innovation Centre in collaboration with the National Research Council (NRC) and APB manufacturers.

Of note, following the initial 2023 NRC testing of the APB technology, issues were identified by the APB manufacturer (Wabtec) with the simulated air brake system arrangement (i.e., a test rig) used. This resulted in modifications and corrections to the NRC test rig for all subsequent testing. The 2 North American APB manufacturers (Wabtec and New York Air Brake) provided samples to NRC that were tested under a freight car in 2024. The TSB participated as an observer during this testing. Both tests showed that the APB did successfully retain the designed brake cylinder piston extension and holding force after the full depletion of the brake cylinder pressure. As indicated by TC, a technical summary of the testing is expected to be completed in Spring 2026. No prototype field applications have taken place in Canada. In the meantime, MxV Rail, a fully owned subsidiary of the Association of American Railroads, acquired some samples of APBs from Wabtec, New York Air Brake, and 1 other manufacturer and will be testing and evaluating this technology; results are expected by the end of 2026.¹⁰

TC indicated that options for next steps for APB implementation and potential further testing will be explored with the Railway Association of Canada following completion of the technical summary. However, TC did not indicate the outcome of the testing that was performed, potential timelines for the next steps, or what the criteria are in order to make a determination on whether and how APBs might be implemented.

¹⁰ The information in this paragraph was obtained separately from the assessment response.

The Board looks forward to reviewing the technical summary of the APB testing performed by TC's Innovation Centre and the options that will be explored in the future to determine whether and how APBs might be implemented. Until these details are finalized and a clear path toward implementation or alternative technological mitigations is established, the contribution of this work to fully addressing the intent of the recommendation cannot yet be determined.

Therefore, the Board considers TC's response to Recommendation R22-02 to be **Satisfactory in Part**.

File status

The TSB will monitor TC's and industry's progress on the development of APB technology.

This deficiency file is **Active**.